

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 6570P015
First Inventor Martin Runte, et al.
Title Tools Providing For Backwards Compatible Software
Express Mail Label No. EV336588207US

22278 U.S. PTO
10/15/03



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 23]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration (signed) [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment ☐ Application Amended to Reflect Claim of Priority
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Copy of postcard with certificate mailing label showing contents therein

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

Prior application Information: Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number

08791

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

(408) 720-8300

Fax

(408) 720-8383

Name (Print/Type)

Saina S. Shamilov

Registration No. (Attorney/Agent)

48,266

Signature

[Signature]

Date

10/15/03

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 1,392.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Martin Runte
Examiner Name	
Group/Art Unit	
Attorney Docket No.	6570P015

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☒ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					770.00

2. EXTRA CLAIM FEES

Total Claims 38 - 20* = 18 X 18.00 = \$324.00
Independent Claims 6 - 3* = 3 X 86.00 = \$258.00
Multiple Dependent

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					582.00

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

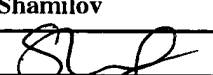
3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	* Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	1,210	2255	605	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
SUBTOTAL (3)					40.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Saina S. Shamilov	Registration No. (Attorney/Agent)	48,266	Telephone	(408) 720-8300
Signature		Date	10/15/03		

Based on PTO/SB/17 (08-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wlr) 08/11/2003.
SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

EV336588207US

"Express Mail" mailing label number: Ev336588207US

Date of Deposit: 10-15-03

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

Carla Vignola

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

10-15-03

(Date signed)

Application No.: _____ Filing Date: _____ BSTZ Docket #: 006570.P015 Atty/Sec: GDC/SSS/cv
Date Mailed: 10/15/2003 Docket Due Date(s): _____ Client: SAP AG
Title Tools Providing For Backwards Compatible Software

Inventor(s) Runte, Decker, Hueber, Remmel

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Amendment: (___ pgs) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input checked="" type="checkbox"/> Application: <u>Utility</u>
(<u>23</u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (<u>3</u> pgs) | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Certificate of | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Reply Brief (___ pgs) |
| <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs) (signed) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input checked="" type="checkbox"/> Drawings: <u>7</u> sheets, <u>8</u> figures | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input checked="" type="checkbox"/> Express Mail No: <u>EV336588207US</u> | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Extension of Time: _____ | <input checked="" type="checkbox"/> Transmittal of Formal Drawings |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input checked="" type="checkbox"/> Other <u>Copy of postcard with certificate mailing label</u> | <input checked="" type="checkbox"/> Transmittal Letter |
| SV _____ | <input checked="" type="checkbox"/> Check No. 60745 in the Amount of <u>\$1,392.00</u> |
| Assignee: <u>SAP Aktiengesellschaft</u> | <input type="checkbox"/> Check No. _____ in the Amount of _____ |

6570/P015/2003/P003/40US

Application No.: _____ Filing Date: _____ BSTZ Docket #: 006570.P015 Atty/Sec: GDC/SSS/cv
Date Mailed: 10/15/2003 Docket Due Date(s): _____ Client: SAP AG
Title Tools Providing For Backwards Compatible Software

Inventor(s) Runte, Decker, Hueber, Remmel

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Amendment: (___ pgs) | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs) |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs) | <input type="checkbox"/> Issue Fee Transmittal (original & copy) |
| <input checked="" type="checkbox"/> Application: <u>Utility</u>
(<u>23</u> pages w/ cover & abstract) | <input type="checkbox"/> Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input type="checkbox"/> Application Amended to Reflect Claim of Priority | <input type="checkbox"/> Notice of Appeal |
| <input checked="" type="checkbox"/> Assignment & Cover Sheet (<u>3</u> pgs) | <input type="checkbox"/> Petition for: _____ |
| <input type="checkbox"/> Certificate of | <input type="checkbox"/> Request for Continued Examination (RCE) |
| <input type="checkbox"/> Continued Prosecution Application (CPA) | <input type="checkbox"/> Reply Brief (___ pgs) |
| <input checked="" type="checkbox"/> Declaration & POA (<u>4</u> pgs) (signed) | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request |
| <input checked="" type="checkbox"/> Drawings: <u>7</u> sheets, <u>8</u> figures | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter |
| <input checked="" type="checkbox"/> Express Mail No: <u>EV336588207US</u> | <input type="checkbox"/> Terminal Disclaimer |
| <input type="checkbox"/> Extension of Time: _____ | <input checked="" type="checkbox"/> Transmittal of Formal Drawings |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy) | <input type="checkbox"/> Transmittal of Publication Fee Due |
| <input checked="" type="checkbox"/> Other <u>Copy of postcard with certificate mailing label</u> | <input checked="" type="checkbox"/> Transmittal Letter |
| SV _____ | <input checked="" type="checkbox"/> Check No. 60745 in the Amount of <u>\$1,392.00</u> |
| Assignee: <u>SAP Aktiengesellschaft</u> | <input type="checkbox"/> Check No. _____ in the Amount of _____ |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MARTIN RUNTE, ET AL.

Application No.:

Filed:

For: **Tools Providing For Backwards Compatible
Software**

Art Group:

Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

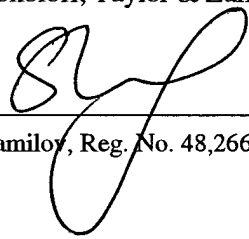
Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 7 sheets including 8 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: October 15, 2003



Saina S. Shamilov, Reg. No. 48,266

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Los Angeles, California 90025
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